

NOT PRECEDENTIAL

UNITED STATES COURT OF APPEALS
FOR THE THIRD CIRCUIT

No. 15-1056

DANIEL BOCK, JR.

v.

PRESSLER & PRESSLER, LLP,
Appellant

On Appeal from the United States District Court for the
District of New Jersey
(District Court No.: 2-11-cv-07593)
District Judge: Honorable Kevin McNulty

Argued on November 10, 2015

Before: CHAGARES, RENDELL and BARRY, Circuit Judges.

(Opinion Filed: July 27, 2016)

Manuel H. Newburger, Esquire (**Argued**)
Barron & Newburger
1212 Guadalupe
Suite 104
Austin, TX 78701

Mitchell L. Williamson, Esquire
Pressler & Pressler, LLP
7 Entin Road
Parsippany, NJ 07054

Counsel for Appellant

Cary L. Flitter, Esquire (**Argued**)

Andrew M. Milz, Esquire

Flitter Milz

450 North Narberth Avenue

Suite 101

Narberth, PA 19072

Deepak Gupta, Esquire

Gupta Wessler

1735 20th Street, N. W.

Washington, DC 20009

Philip D. Stern, Esquire

Andrew T. Thomasson, Esquire

Stern Thomasson

2816 Morris Avenue

Suite 30

Union, NJ 07083

Counsel for Appellee

Jeanne L. Zimmer, Esquire

Carlson & Messer

5959 West Century Boulevard

Suite 1214

Los Angeles, CA 90045

Counsel for Amicus-Appellants

Kristin Bateman, Esquire (**Argued**)

Consumer Financial Protection Bureau

1700 G Street, N. W.

Washington, DE 20552

Counsel for Amicus-Appellee Consumer
Financial Protection Bureau

Theodore P. Metzler, Jr., Esquire

Federal Trade Commission

600 Pennsylvania Avenue, N. W.

Washington, DC 20580

Counsel for Amicus-Appellee
Federal Trade Commission

O P I N I O N

RENDELL, Circuit Judge:

Defendant-Appellant Pressler and Pressler, LLP appeals the District Court's order granting Plaintiff Bock's motion for summary judgment. Bock alleged that Pressler and Pressler made a false or misleading representation in violation of the Fair Debt Collection Practices Act (FDCPA), 15 U.S.C. § 1692e, by filing a state complaint without meaningful attorney review. Although the issue of Article III standing was not raised by the District Court or by the parties, "we are required to raise issues of standing *sua sponte* if such issues exist." *Steele v. Blackman*, 236 F.3d 130, 134 n.4 (3d Cir. 2001). At the time of oral argument in this case, *Spokeo, Inc. v. Robins*, 136 S. Ct. 1540 (2016), was pending before the United States Supreme Court. It had the potential to impact cases like Bock's, when the alleged injury to the plaintiff flows from the violation of a procedural right granted by statute. We asked the parties to address *Spokeo* at oral argument and requested written briefing after the opinion was published on May 16, 2016.¹

The issue of standing is "an essential and unchanging part of the case-or-controversy requirement of Article III." *Lujan v. Defs. of Wildlife*, 504 U.S. 555, 560 (1992). "The doctrine developed in our case law to ensure that federal courts do not

¹ The District Court had jurisdiction pursuant to 28 U.S.C. § 1331; we have jurisdiction pursuant to 28 U.S.C. § 1291. We exercise de novo review over an order granting summary judgment. *Curley v. Klem*, 298 F.3d 271, 276 (3d Cir. 2002).

exceed their authority as it has been traditionally understood.” *Spokeo*, 136 S. Ct. at 1547. Constitutional standing requires the party invoking jurisdiction to meet three elements:

First, the plaintiff must have suffered an “injury in fact”—an invasion of a legally protected interest which is (a) concrete and particularized, and (b) actual or imminent, not conjectural or hypothetical[.] Second, there must be a causal connection between the injury and the conduct complained of Third, it must be likely . . . that the injury will be redressed by a favorable decision.

Lujan, 504 U.S. 560–61 (internal citations and quotation marks omitted). The issue presented in *Spokeo* was whether the violation of a procedural right granted by statute presents an injury sufficient to constitute an “injury-in-fact” and satisfy the “[f]irst and foremost” of standing’s three elements.” *Spokeo*, 136 S. Ct. at 1547.

While the Supreme Court did not change the rule for establishing standing in *Spokeo*, it used strong language indicating that a thorough discussion of concreteness is necessary in order for a court to determine whether there has been an injury-in-fact. *Id.* at 1545. The Court made it clear that the requirements of particularization and concreteness required separate analyses and that neither requirement alone was sufficient. *Id.* at 1548 (“Particularization is necessary to establish injury in fact, but it is not sufficient. An injury in fact must also be ‘concrete.’”). In determining whether there is a concrete injury, the presentation of an alleged statutory violation is not always sufficient. *Id.* at 1549 (“[Plaintiff] could not, for example, allege a bare procedural violation, divorced from any concrete harm, and satisfy the injury-in-fact requirement of Article III.”). However, the Court confirmed that “because Congress is well positioned to identify

intangible harms that meet minimum Article III requirements [it] may elevat[e] to the status of legally cognizable injuries concrete, *de facto* injuries that were previously inadequate in law.” *Id.* (internal quotation marks omitted). Essentially, “the question framed by [the Court’s] discussion [is] whether the particular procedural violations alleged in [a] case entail a degree of risk sufficient to meet the concreteness requirement.” *Spokeo*, 136 S. Ct. at 1550.

We recently discussed *Spokeo*’s impact on Article III standing in *In re Nickelodeon Consumer Privacy Litig.*, No. 15-1441, 2016 WL 3513782 (3d Cir. June 27, 2016). There, we interpreted *Spokeo* to say that “even certain kinds of ‘intangible’ harms can be ‘concrete’ for purposes of Article III What a plaintiff cannot do . . . is treat a ‘bare procedural violation . . . [that] may result in no harm’ as an Article III injury-in-fact.” *Id.* at *7 (quoting *Spokeo*, 136 S. Ct. at 1550). We observed that “in some cases an injury-in-fact may exist solely by virtue of statutes creating legal rights, the invasion of which creates standing.” *Nickelodeon*, 2016 WL 3513782 at *6. Specifically, we addressed the Supreme Court’s deference to Congress, noting that “*Spokeo* directs us to consider whether an alleged injury-in-fact ‘has traditionally been regarded as providing a basis for lawsuit,’” and “Congress’s judgment on such matters is . . . ‘instructive and important.’” *Id.* at *7 (quoting *Spokeo*, 136 S. Ct. at 1549).

Given the Supreme Court’s directive in *Spokeo* regarding the need for a court to specifically address concreteness and particularization, we will remand this case to the District Court to determine in the first instance whether Bock has Article III standing.